

## Shifting Bottleneck Heuristic

### Algorithm

#### 1. Initialization

- $M_0 = \emptyset$  (scheduled machines)
- $G =$  only conjunctive arcs
- $C_{\max} =$  critical path in  $G$ .

#### 2. (Choice of machine.) For each $M_i \in M - M_0$ ,

- generate the  $1|r_j|L_{\max}$  schedule
- compute  $L_{|max}(i)$ .

#### 3. Scheduling the bottleneck machine

- Let  $k$  be the machine that maximizes  $L_{\max}(i)$
- Schedule  $k$  by the  $1|r_j|L_{\max}$  solution
- Update  $G$
- $M_0 = M_0 \cup \{k\}$ .

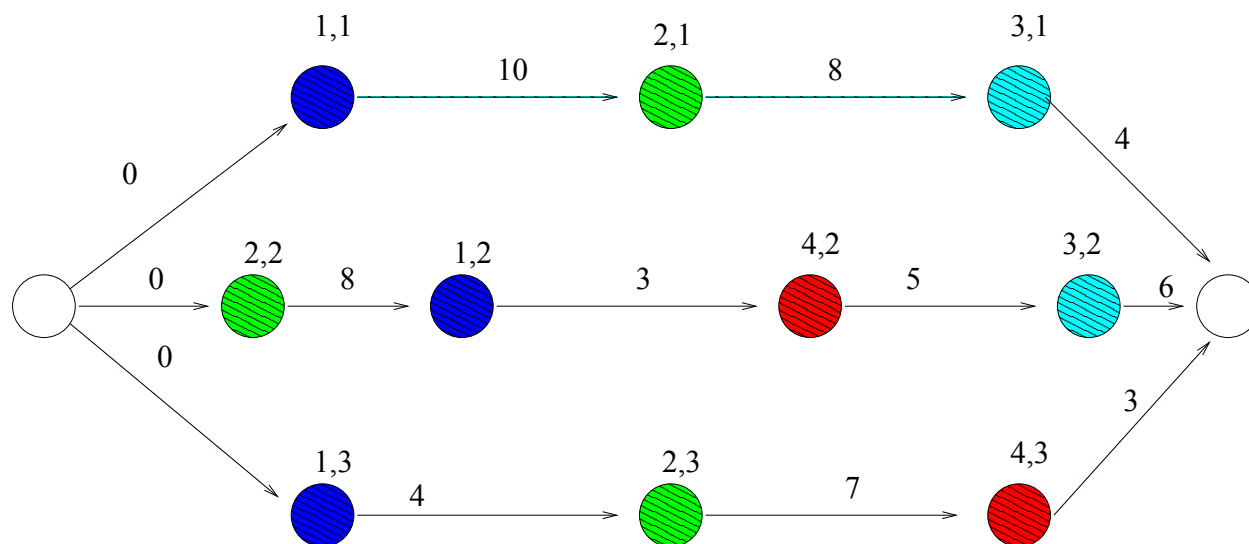
#### 4. (Resequence already scheduled machines.) For each $M_i \in M_0 - \{k\}$

- Delete disjunctive arcs for  $M_i$  from  $G$
- Form the  $1|r_j|L_{\max}$
- Reschedule  $M_i$  according to this schedule

#### 5. If $M = M_0$ stop, else go to 2

## Example

jobs	machine sequence	processing times
1	1,2,3	$p_{11} = 10, p_{21} = 8, p_{31} = 4$
2	2,1,4,3	$p_{22} = 8, p_{12} = 3, p_{42} = 5, p_{32} = 6$
3	1,2,4	$p_{13} = 4, p_{23} = 7, p_{43} = 3$

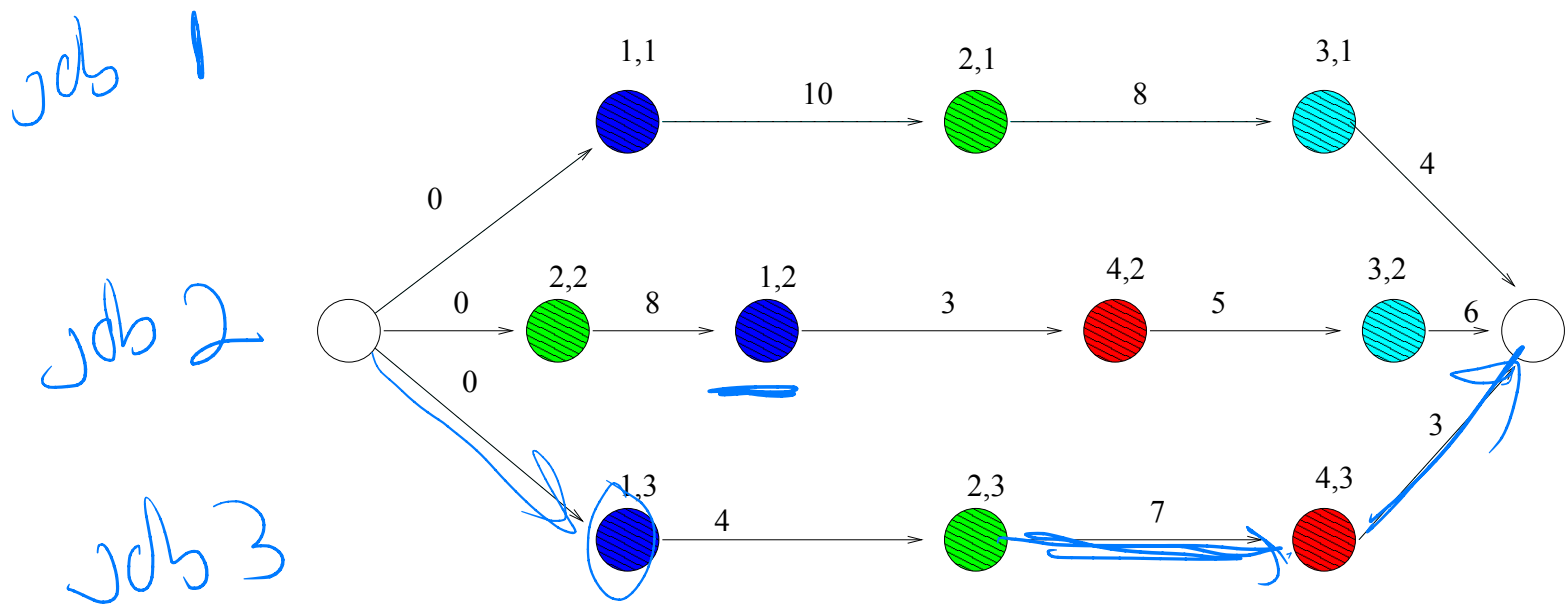


critical path is 22  
lower bound on  $C_{max}$  = 22

Goal: schedule w/  $C_{max} = 22$

the only way we can possibly achieve  $C_{max} = 22$  is to run job 1 on  $M_1$  at time 0

**Iteration 1**



Form the  $1|r_j|l_{max}$  problems (Recall  $d_j = LB - (CP - p_j)$ )

**Machine 1**

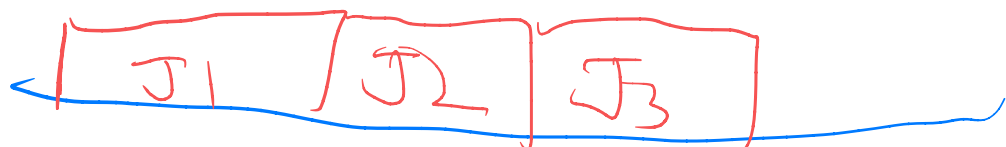
job	1	2	3
$r_j$	0	8	0
$p_j$	10	3	4
$d_j$	10	11	12

Optimal schedule 1,2,3,  $L_{max}(1) = 5$

$22 - 12 = 10$

$22 - 11 = 11$

after job 3 finishes on  $M_1$ , job 3 still has  $7 + 3 = 10$  more units of processing.  $\therefore$  job 3 must finish on  $M_1$  by time  $22 - 10 = 12$



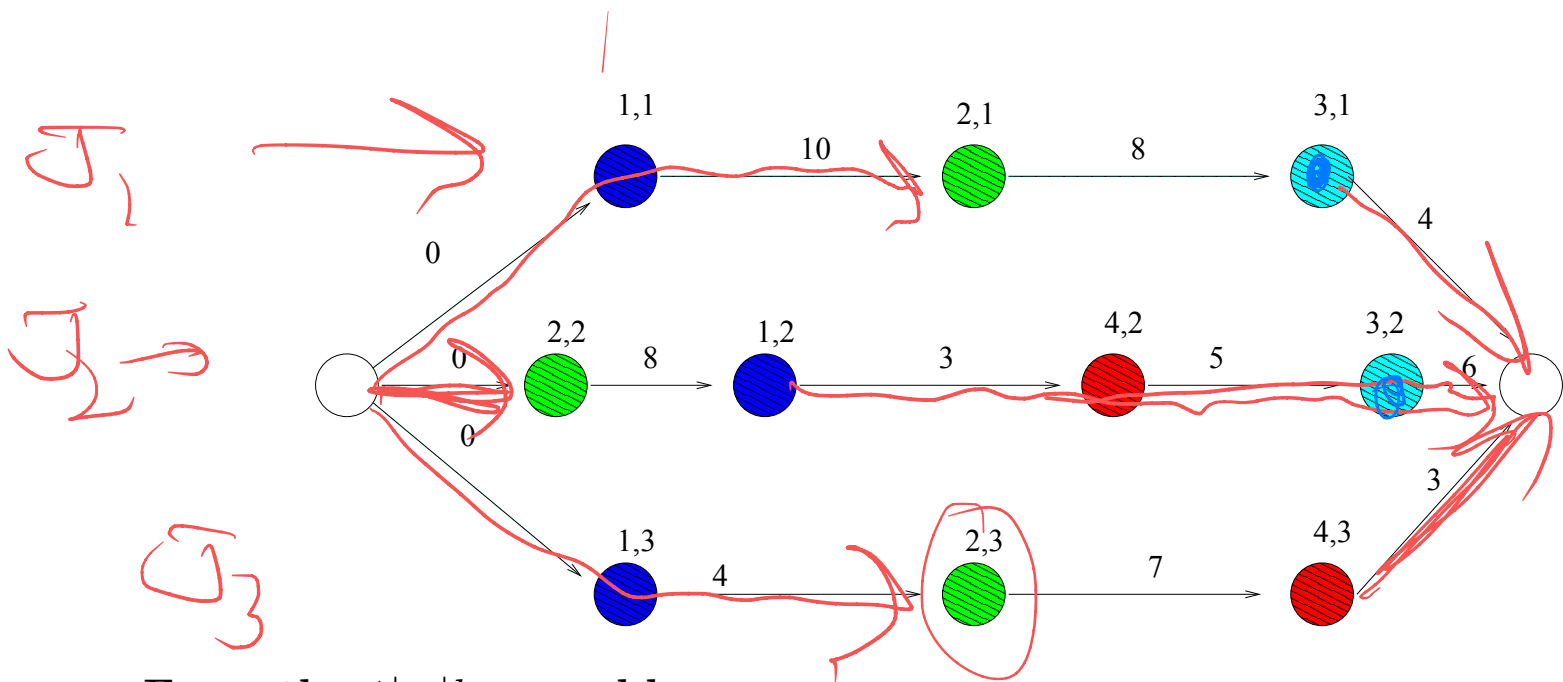
10      13      17

$L_j$       0      2      5

$C_{max} \approx 22$

How can we achieve  $C_{max} \approx 22$  only  
 locking of  $M_2$

Iteration 1 (cont)



Form the  $1|r_j|l_{max}$  problems

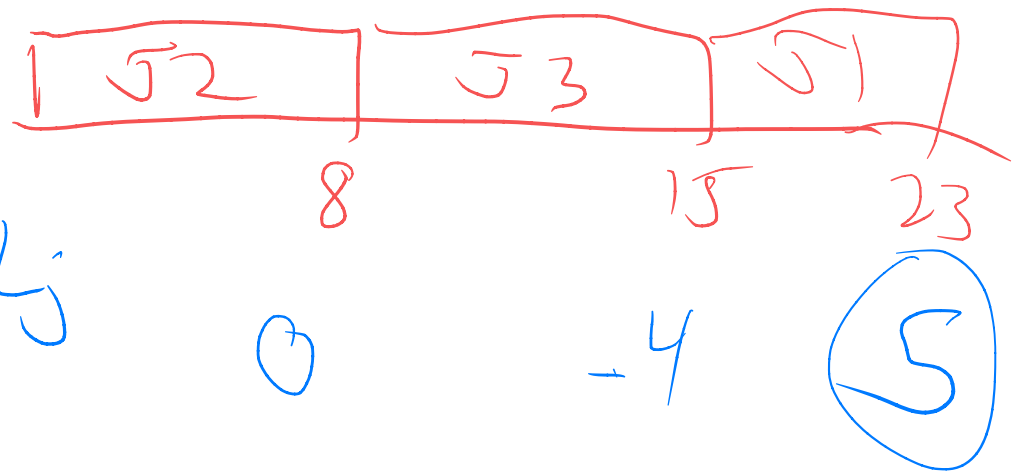
**Machine 2**

job	1	2	3
$r_j$	10	0	4
$p_j$	8	8	7
$d_j$	18	8	19

**Optimal schedule** 2,3,1  $L_{max}(2) = 5$

**Similarly**  $L_{max}(3) = 4$ .  $L_{max}(4) = 0$ .

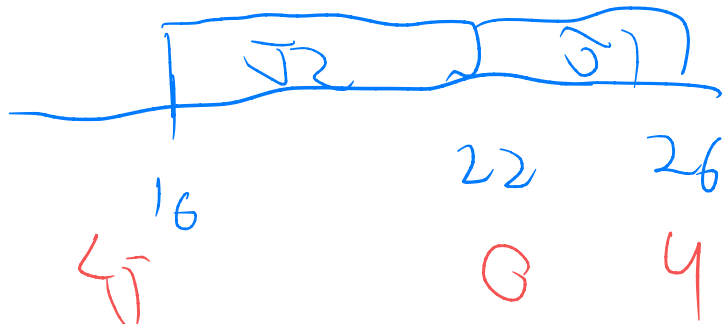
**Schedule**  $M_1$  in the order 1,2,3.



$22 - 14 = 8$

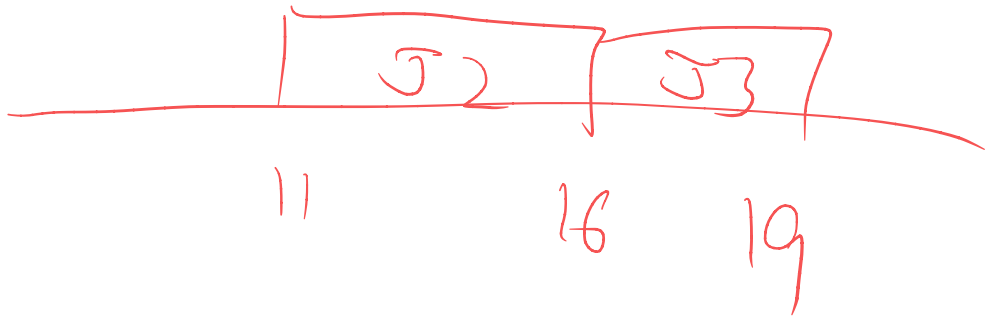
$M_3$

job	1	2
$r_j$	18	16
$p_j$	4	6
$d_j$	22	22

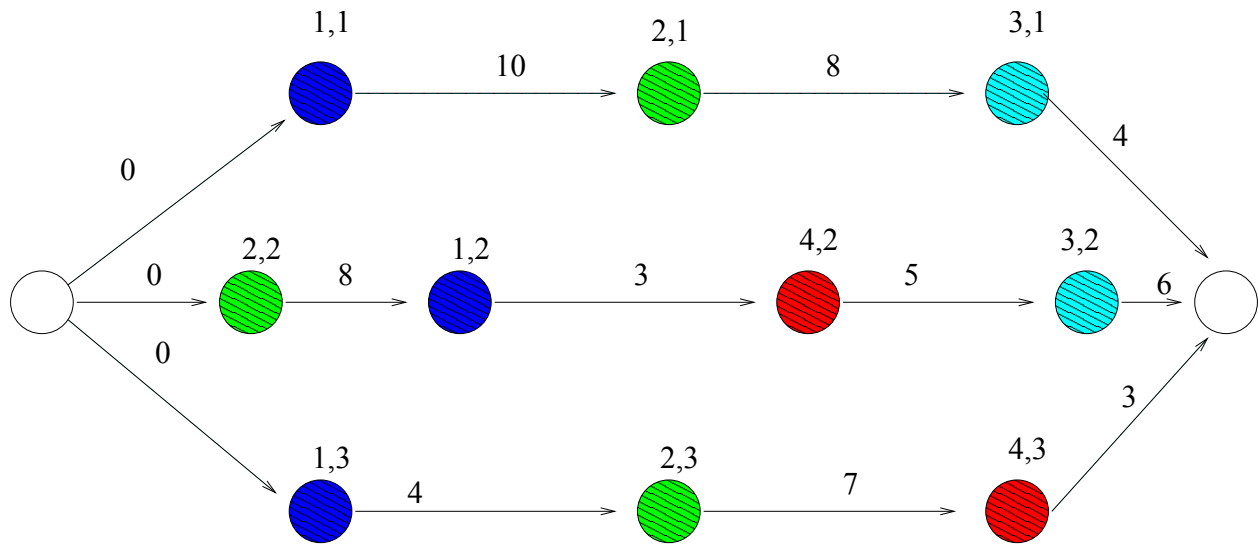


$M_4$

job	2	3
$r_j$	11	11
$p_j$	5	3
$d_j$	16	22



Iteration 1 (cont)



Form the  $1|r_j|l_{max}$  problems

**Machine 2**

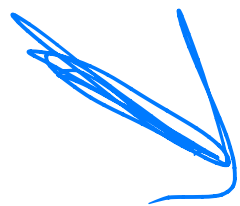
job	1	2	3
$r_j$	10	0	4
$p_j$	8	8	7
$d_j$	18	8	19

**Optimal schedule** 2,3,1  $L_{max}(2) = 5$

**Similarly**  $L_{max}(3) = 4$ .  $L_{max}(4) = 0$ .

**Schedule**  $M_1$  in the order 1,2,3.

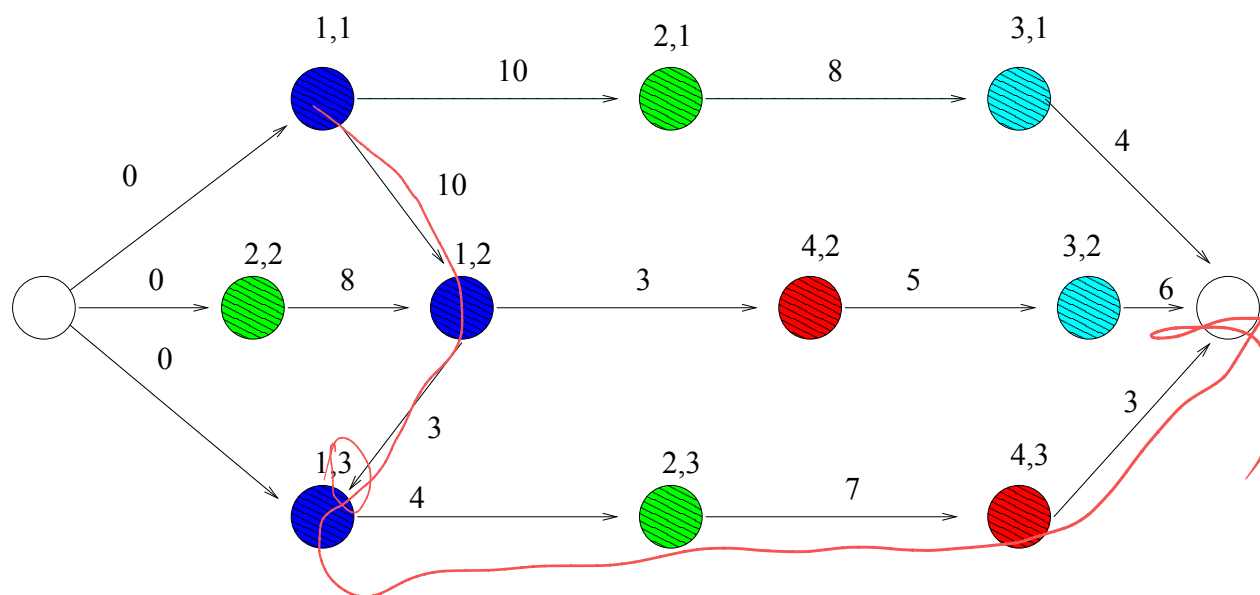
$1|r_j|l_{max}$  solution



	$l_{max}$
$M_1$	5
$M_2$	5
$M_3$	4
$M_4$	0

CP = 27

## Iteration 2



Form the  $1|r_j|l_{\max}$  problems

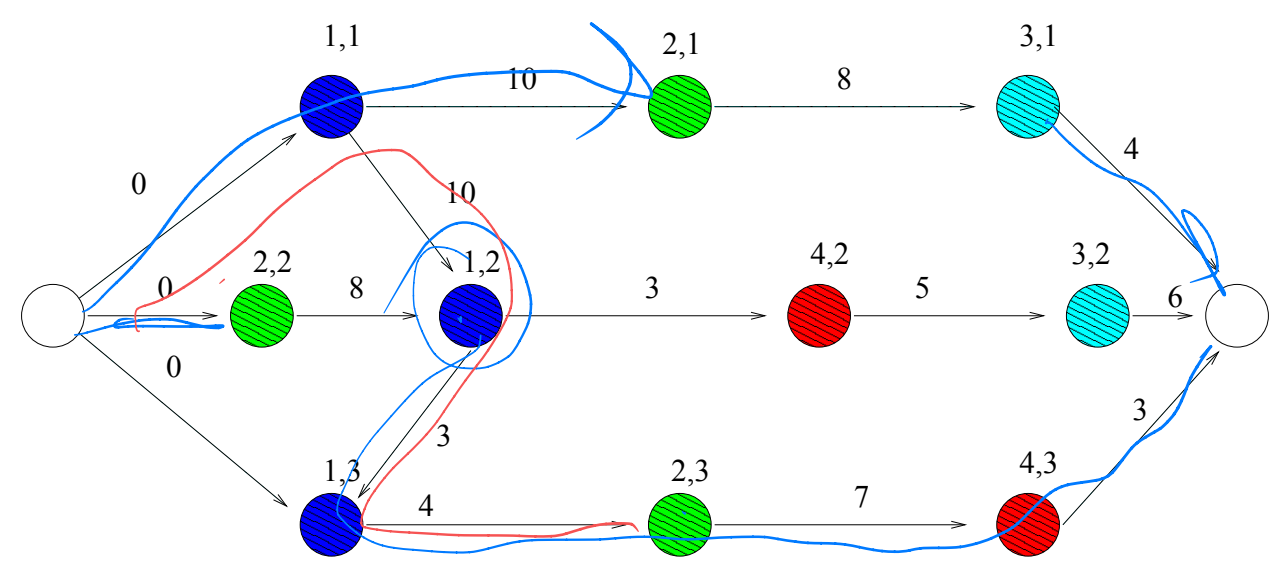
### Machine 2

job	1	2	3
$r_j$	10	0	17
$p_j$	8	8	7
$d_j$	23	10	14

Optimal schedule 2,1,3,  $L_{\max}(2) = 1$

CP=27

### Iteration 2



Form the  $1|r_j|l_{max}$  problems

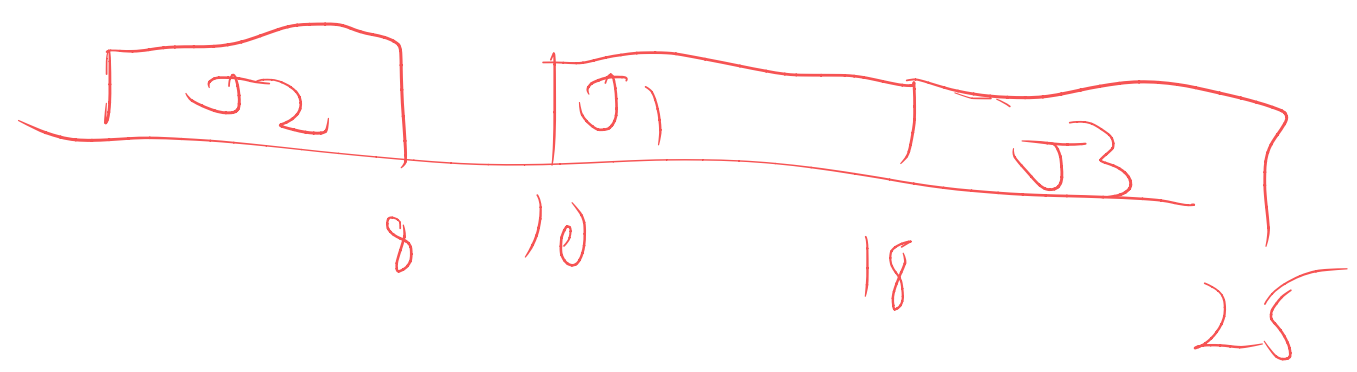
#### Machine 2

job	1	2	3
$r_j$	10	0	17
$p_j$	8	8	7
$d_j$	23	10	14

$27-3 = 24$

Optimal schedule 2,1,3,  $L_{max}(2) = 1$

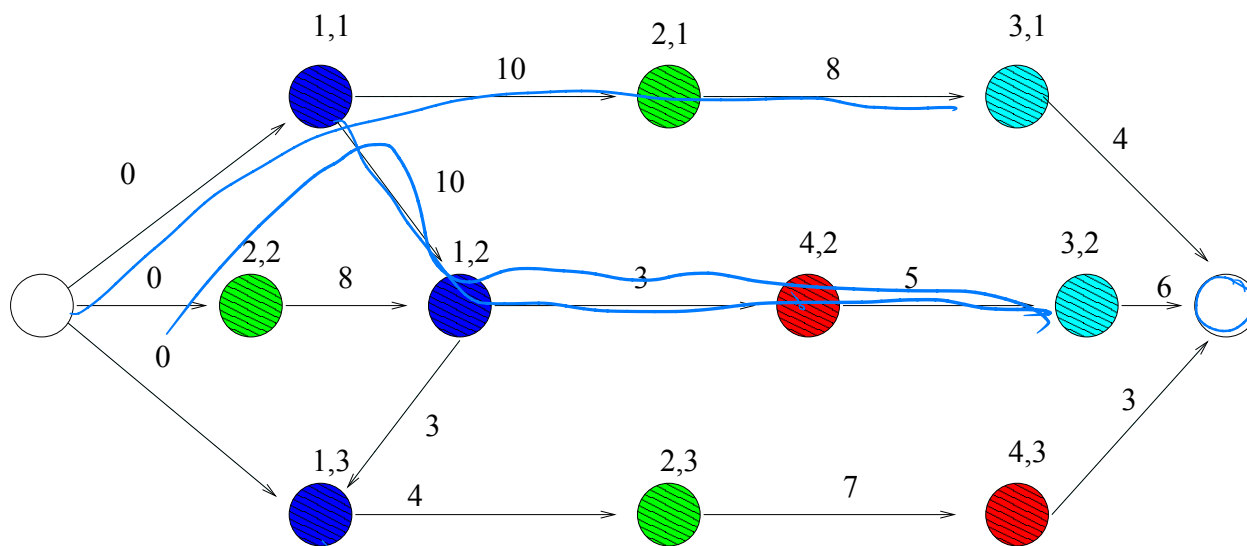
$27-4 = 23$        $27-17 = 10$



$L = 2$        $-5$        $1$

$M_2$   $\frac{L_{max}}{1}$   
 $M_3$   $1$   
 $M_4$   $0$

## Iteration 2 (cont)



Form the  $1|r_j|L_{max}$  problems

### Machine 3

job	1	2
$r_j$	18	18
$p_j$	4	6
$d_j$	27	27

**Optimal schedule** (either),  $L_{max}(3) = 1$

**Similarly**  $L_{max}(4) = 0$ .

**Schedule**  $M_2$  in the order 2,1,3.

**Resequence** Trying to resequence machine 1 does not help.

Machine 4

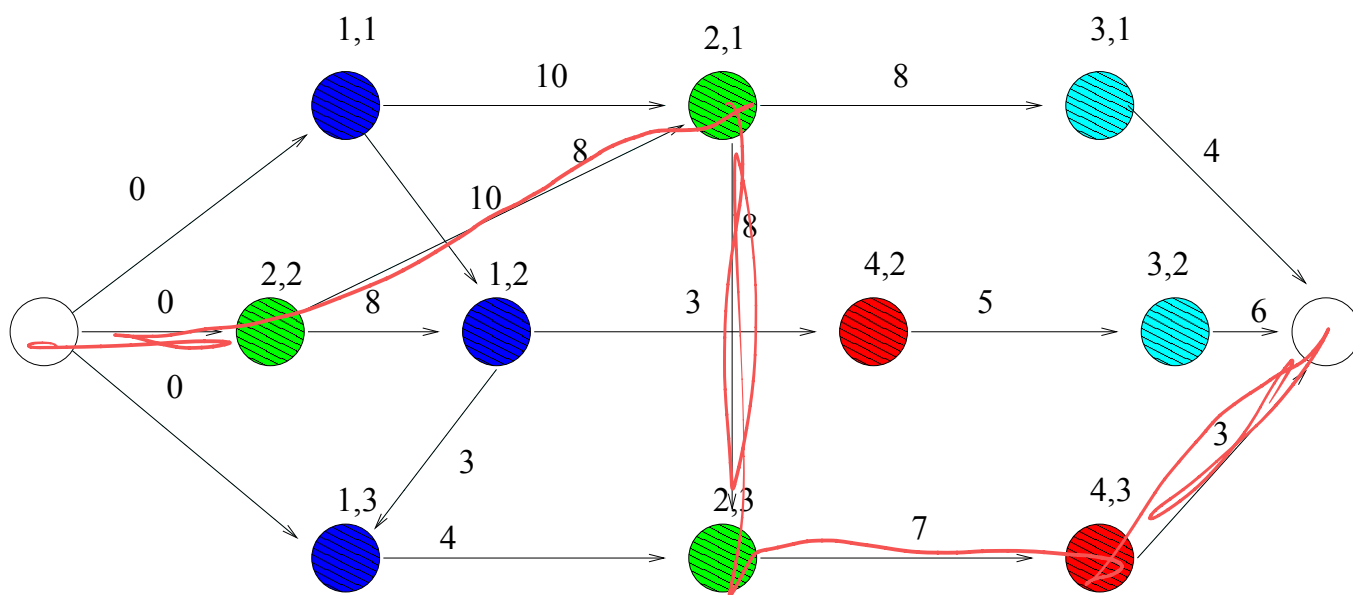
job	2	3
$r_j$	14	24
$p_j$	5	0
$d_j$	21	27

$L_{max} = 0$



CP 28

### Iteration 3



Form the  $1|r_j|L_{\max}$  problems

Machine 3 and Machine 4 both have  $L_{\max}() = 0$ .

Resequencing does not help.

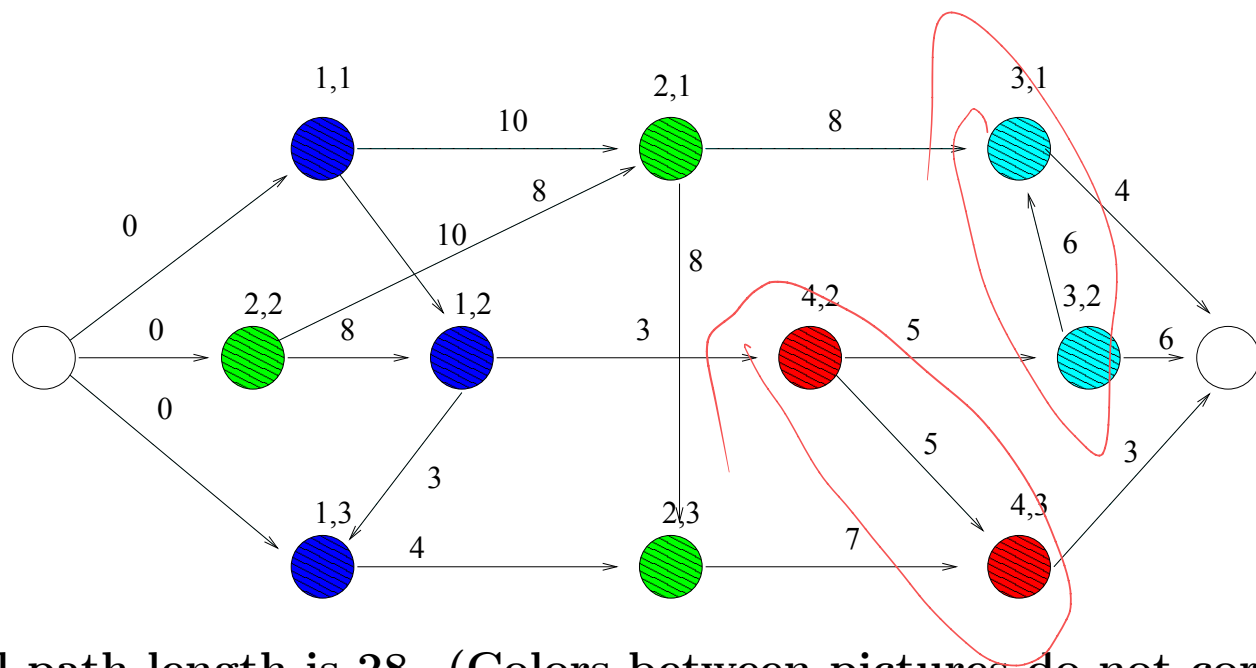
Time:  $m$  iterations

Compute  $\|C\|_{\max}$  for unscheduled machines ( $\leq m$ )

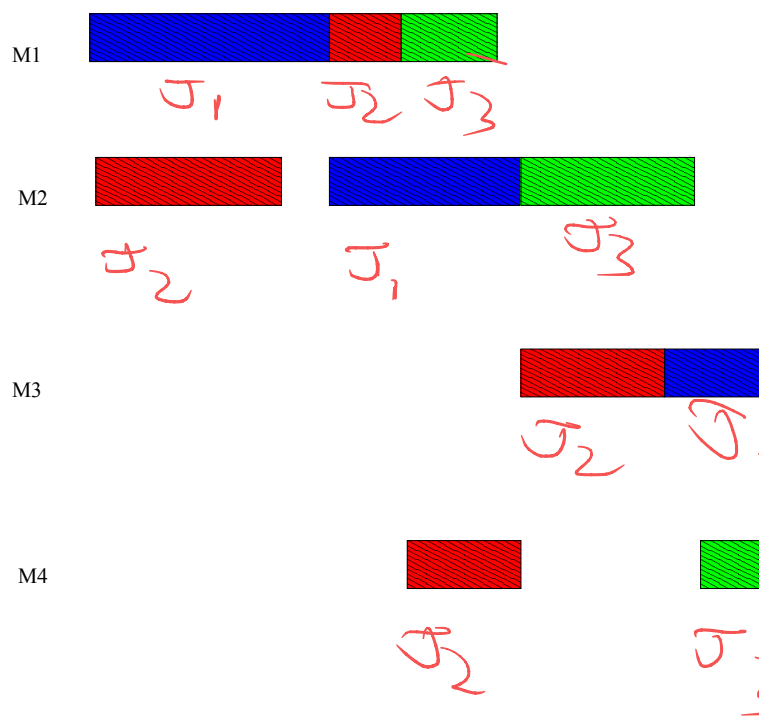
Try Rescheduling

( $\leq m$ )

### Final schedule



Critical path length is 28. (Colors between pictures do not correspond)



Solving  $O(m^2)$   $\|C\|_{\max}$  problems w/  $n$  jobs

Not optimal schedule.